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Attorney Docket No.  
D/A3399



2/4/2004  
Date

Cathy Whitney

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Application of Raymond W. Wong et al.

Group Art Unit: not yet assigned

Application No.: 10/722,162

Examiner: not yet assigned

Filed: 11/25/2003

For: PHASE CHANGE INKS

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

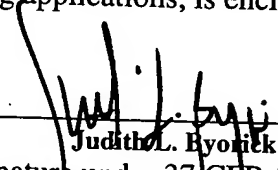
Sir:

**INFORMATION DISCLOSURE STATEMENT**

Pursuant to 37 C.F.R. 1.56, the attention of the Patent and Trademark Office is hereby directed to the reference(s) and information listed on the attached PTO-1449 Form. One legible copy of each is attached to the PTO-1449 Form, except for U.S. Patents or published U.S. applications. It is respectfully requested that the references and information be expressly considered during the prosecution of this application, and that the reference(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

- ☒ 1. This Information Disclosure Statement is being filed (a) within three months of the U.S. filing date of the above application (which is not a CPA), **OR** (b) before the mailing date of a first Office Action on the merits. *No certification or fee is required.*
- ☐ 2. This Information Disclosure Statement is being filed more than three months after the U.S. filing date **AND** after the date of the first Office Action on the merits, but before the mailing date of a Final Rejection, Notice of Allowance, or other action that closes prosecution. Please debit Xerox Corporation Deposit Account 24-0025 in the amount of \$180.00 in payment of the fee under 37 C.F.R. 1.17(p). *(A copy of this paper is attached.)*
- ☐ 3. This Information Disclosure Statement is being filed more than three months after the U.S. filing date and after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection or Notice of Allowance; **AND**
- ☐ a. I hereby certify that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. 1.97(e)(1); **(OR)**

- ☐ b. I hereby certify that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. §1.56(c), more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. 1.97(e)(2)
- ☐ 4. This Information Disclosure Statement is being filed after the mailing date of a Final Rejection or Notice of Allowance, but before the payment of the Issue Fee. Please debit Xerox Corporation Deposit Account 24-0025 in the amount of \$180.00 in payment of the fee under 37 C.F.R. 1.17(p) (*A copy of this paper is attached*); AND
- ☐ a. I hereby certify that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. 1.97(e)(1); (OR)
- ☐ b. I hereby certify that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. §1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. 1.97(e)(2)
- ☐ 5. Per 37 C.F.R. 1.98(a)(3), a concise explanation of the relevance of each of any submitted item that is NOT in the English language is either enclosed herewith, or incorporated in the application specification.
- ☐ 6. A copy of an English language version of an above-referenced counterpart foreign application search report is attached.
- ☐ 7. Copies of some or all of the subject references were cited by, or submitted to the Office in related parent Application No. \_\_\_\_\_, filed \_\_\_\_\_, which is relied upon under 35 U.S.C. §120. Thus, copies of these references are not attached (except for copies of cited pending applications). 37 C.F.R. §1.98(d).
- ☐ 8. A copy of each copending application recited in the parent application of paragraph 7 above, and/or a copy of other related copending applications, is enclosed.

  
Judith L. Byonick  
Signature under 37 CFR 1.33 & 34  
Registration No. 32,606  
Telephone No. 585.423.4564  
Date 02/04/2004

Form PTO-1449		US Dept. of Commerce PATENT & TRADEMARK OFFICE		ATTY DOCKET NO. D/A3399		APPLICATION NO. 10/722,162	
INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)				APPLICANT Raymond W. Wong et al.			
				FILING DATE 11/25/2003		GROUP ART UNIT	
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL	DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE		CLASS	SUB CLASS	
	5,496,879	3/5/1996	Griebel et al.		524	320	
	4,889,560	12/26/1989	Jaeger et al.		106	27	
	4,889,761	12/26/1989	Titterington et al.		428	195	
	5,372,852	12/13/1994	Titterington et al.		427	288	
	5,221,335	6/22/1993	Williams et al.		106	23	
	5,621,022	4/15/1997	Jaeger et al.		523	161	
	3,653,932	4/4/1972	Berry et al.		106	22	
	4,390,369	6/28/1983	Merritt et al.		106	31	
	4,484,948	11/27/1984	Merritt et al.		106	31	
	4,684,956	8/4/1987	Ball		346	1.1	
	4,851,045	7/25/1989	Taniguchi		106	31	
	5,006,170	4/9/1991	Schwarz et al.		106	20	
	5,151,120	9/29/1992	You et al.		106	27	
	5,782,966	7/21/1998	Bui et al.		106	31.43	
	5,902,841	5/11/1999	Jaeger et al.		523	161	
	5,994,453	11/30/1999	Banning et al.		524	590	
	6,174,937 B1	1/16/2001	Banning et al.		523	160	
	6,309,453 B1	10/30/2001	Banning et al.		106	31.29	
	6,380,423 B2	4/30/2002	Banning et al.		560	158	
	5,800,600	9/1/1998	Lima-Marques et al.		106	31.29	

## FOREIGN PATENT DOCUMENTS

	COUNTRY	DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	TRANSLATION Y/N
	EPC	EP 0187352	7/16/1986	Howtek, Inc.	
	EPC	EP 0206286	12/30/1986	Howtek, Inc.	
	PCT	WO 94/04619	3/3/1994	General Electric	
EXAMINER				DATE CONSIDERED	
Examiner: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.					

Form PTO-1449	US Dept. of Commerce PATENT & TRADEMARK OFFICE	ATTY DOCKET NO. D/A3399	APPLICATION NO. 10/722,162
INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)		APPLICANT Raymond W. Wong et al.	
		FILING DATE 11/25/2003	GROUP ART UNIT
OTHER DOCUMENTS (Including Author (in CAPS), Title, Publication Date, Pages, etc.)			
	Coping Application U.S. Serial No. 10/722,164 (Attorney Docket Number D/A1388), filed concurrently herewith, entitled "Phase Change Inks and Process for the Preparation Thereof," by Stephan V. Drappel, et al.		
	Coping Application U.S. Serial No. 10/721,851 (Attorney Docket No. D/A3596), filed concurrently herewith, entitled "Processes for Preparing Phase Change Inks," by Raymond W. Wong, et al.		
	German Patent Publication DE 4205636AL		
	German Patent Publication DE 4205713AL		
EXAMINER	DATE CONSIDERED		
Examiner: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.			